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McQuatters-Gollop, A.:0000-0002-6043-9563

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From science to evidence – how biodiversity indicators can be used for effective marine conservation policy and management

Abigail McQuatters-Gollop^{1*}, Ian Mitchell², Cristina Vina-Herbon³, Jacob Bedford¹, Prue F. E. Addison⁴, Christopher P. Lynam⁵, Geetha P.N.⁶, Estee Vermeulen⁷, Kaylee Smit⁷, Daniel T. I. Bayley⁸, Elisabeth Morris-Webb⁹, Holly J. Niner¹⁰, and Saskia A. Otto¹¹

¹Centre for Marine and Conservation Policy, University of Plymouth, Drake Circus, Plymouth PL4 8AA, UK

²Joint Nature Conservation Committee, Inverdee House, Baxter Street, Aberdeen AB11 9QA, UK.

³Joint Nature Conservation Committee, Monkstone House, City Road, Peterborough PE1 1JY, UK.

⁴Interdisciplinary Centre for Conservation Science, Department of Zoology, University of Oxford, Oxford, OX1 3SZ, UK

⁵Centre for Environment, Fisheries and Aquaculture Science (Cefas), Lowestoft Laboratory, Pakefield Road, Lowestoft, Suffolk NR33 0HT, UK

⁶PG and Research Department of Zoology, M.G.University, Kottayam, Kerala, India

⁷Institute for Coastal and Marine Research, Ocean Sciences Campus, Nelson Mandela University, Port Elizabeth, 6031, South Africa.

⁸Centre for Biodiversity and Environment Research, University College London, London, WC1H 0AG, UK

⁹School of Ocean Sciences, Bangor University, Askew Street, Menai Bridge, Anglesey, LL59 5AB, UK.

¹⁰UCL Australia, Faculty of Engineering, University College London, London, WC1H 0AG, UK

¹¹Institute of Marine Ecosystem and Fishery Science, Center for Earth System Research and Sustainability, University of Hamburg, 22767 Hamburg, Germany

*corresponding author: Abigail.mcquatters-gollop@plymouth.ac.uk

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Abstract

Indicators are effective tools for summarising and communicating key aspects of ecosystem state and have a long record of use in marine pollution and fisheries management. The application of biodiversity indicators to assess the status of species, habitats, and functional diversity in marine conservation and policy, however, is still developing and multiple indicator roles and features are emerging. For example, some operational biodiversity indicators trigger management action when a threshold is reached, while others play an

interpretive, or surveillance, role in informing management. Links between biodiversity indicators and the pressures affecting them are frequently unclear as links can be obscured by environmental change, data limitations, food web dynamics, or the cumulative effects of multiple pressures. In practice, the application of biodiversity indicators to meet marine conservation policy and management demands is developing rapidly in the management realm, with a lag before academic publication detailing indicator development. Making best use of biodiversity indicators depends on sharing and synthesising cutting-edge knowledge and experience. Using lessons learned from the application of biodiversity indicators in policy and management from around the globe, we define the concept of 'biodiversity indicators', explore barriers to their use and potential solutions, and outline strategies for their effective communication to decision-makers.

Introduction

Threats to marine biodiversity, from human activities such as fishing, shipping, coastal development, and energy production and from indirect pressures, like climate change, are increasing (Halpern et al., 2015), with only 13% of the world ocean still considered unimpacted by humans, or 'wild' (Jones et al., 2018). The loss of marine biodiversity impacts the resilience of ecosystems and the ability to maintain essential ecosystem services that support human life, such as food provision and water quality maintenance (Worm et al., 2006). The vulnerable state of global marine ecosystems and the need to sustainably monitor, assess, and manage habitats and species is increasingly recognised (Addison et al., 2017). Consequently, the assessment of the state of marine biodiversity, with associated biodiversity management and conservation measures, is now explicitly articulated in national (Department of Environmental Affairs and Tourism, 2004; Natural Resource Management Ministerial Council, 2010; Defra, 2018), regional (Cartagena Convention, 1983; European Commission, 2008b; 2011), and international (United Nations, 2010; United Nations General Assembly, 2015) legislative mechanisms. These mechanisms address both marine policy (the setting of regulation through legislation) and management (implementation of management plans, monitoring, evaluation and reporting on the status of the marine environment).

'Biodiversity' is "the variability among living organisms, from all sources, including, *inter alia*, terrestrial, marine and other aquatic ecosystems and the ecological complexes of which they are part; this includes diversity within species, between species and of ecosystems" (Convention on Biological Diversity (CBD); United Nations, 1992). In other words, 'biodiversity' refers broadly to all species and habitats in an ecosystem, rather than simply the number of taxa. This definition is broad, encompassing all marine and coastal species and habitats. It is impossible to monitor and assess the state of all aspects of marine biodiversity, so the complexity of biodiversity is typically reduced in dimension by using indicators to summarise its key aspects. Indicators are therefore frequently used in marine policy and management to assess and communicate change in ecosystem state. They are the primary tool for assessing progress towards the CBD Aichi targets, which aim to halt global biodiversity decline (Balmford et al., 2005; Tittensor et al., 2014; United Nations

General Assembly, 2015). Indicators as a concept have been used for decades in marine fisheries management (e.g., commercial fish stock management in South Africa and Europe (Plagányi et al., 2007; ICES, 2018), ecosystem-based fisheries management in Australia, New Zealand, the U.S.A., and Canada (Sainsbury et al., 2000; Link et al., 2002; Methratta and Link, 2006; Fu et al., 2015), in marine pollution regulation (e.g., assessment and management of marine sediment pollution in the North Sea (OSPAR, 2017k), and pollution assessment of fish, crustaceans, and molluscs in the Baltic Sea (HELCOM, 2018)).

Unlike more established indicators in marine fisheries and pollution regulation, which are measurable against a clear objective or target, techniques to develop indicators and targets and to assess the status of marine biodiversity to inform biodiversity management more widely, however, are new but rapidly developing (e.g. Tam et al., 2017). In Europe, for example, the Marine Strategy Framework Directive (MSFD) uses biodiversity indicators to assess the state of marine habitats and species, with the overarching objective of achieving ‘Good Environmental Status’ (GES) (European Commission, 2008b). Similarly in South Africa, the National Biodiversity Strategy and Action Plan aims to achieve ‘Good Ecological Condition’ which refers to ecosystems that are intact or largely intact with minimal modification from a natural condition (Department of Environmental Affairs, 2015). In the U.S., implementing the ecosystem-based approach to management has moved to the forefront of efforts, including the development of quantitative indicators and criteria that can be used to assess overall ecosystem status (Leslie and McLeod, 2007). Where ecological data are lacking, such as in South Africa, expert judgement is often used to set targets for marine biodiversity indicators (e.g. Driver et al., 2011; Department of Environmental Affairs, 2015). Under the MSFD, while some biodiversity indicators already have agreed quantitative targets for individual regions (Defra, 2012; HELCOM, 2018), targets for other regions or indicators are still in development. Approaches to indicator development and target setting for effective management require not only a clear understanding of the system in question, which might need substantial amounts of data in some cases, but also explicit policy goals or objectives. These attributes may inhibit indicator development and policy uptake.

In June 2018, international developers and users of marine biodiversity indicators participated in a symposium and focus group entitled “From science to evidence – innovative uses of biodiversity indicators for effective marine policy and conservation” as part of the 5th International Marine Conservation Congress (IMCC5) in Kuching, Malaysia. The mission of the symposium and focus group was to form a community of practice for both users and developers of biodiversity indicators for marine policy and conservation, and to provide a forum to share successes and failures in developing and applying these indicators. Themes emerged which are common across geographic regions and political scales. This paper uses lessons learned from the application of biodiversity indicators in policy from around the globe to define the concept of biodiversity indicators, explore and discuss barriers and solutions to their use, and outline strategies for their effective communication to policy-makers.

Concept, use, and suitability of biodiversity indicators

The wide definition of the terms 'indicator' and 'biodiversity', as well as their broad applicability, can lead to confusion regarding the function of a biodiversity indicator. For instance, indicators can be defined simply as a "quantitative or qualitative variable that provides reliable means to measure a particular phenomenon or attribute" (USAID, 2009) or, using a process-oriented definition, as a "quantitative or qualitative factor or variable that provides a simple and reliable means to measure achievement, to reflect changes connected to an intervention, or to help assess the performance of a development actor" (OECD, 2002). In a marine context, indicators have been defined as a tool "to monitor and assess the state of the marine environment and to manage human activities having an impact upon it" (European Commission, 2008b). Under the Convention of Biological Diversity (CBD), indicators are defined as tools "for assessing progress towards, and communicating the 2010s target at the global level" (United Nations Environment Programme, 2004), which hereby further extends their application and allows a broader use of terminology.

A bibliographic analysis of > 2500 abstracts queried from the Web of Science database a difference in treatment of the term 'biodiversity indicator' between academic scientists, marine policy-makers and managers (Fig 1). In publications on marine systems, 'ecosystem indicator' is used more commonly and synonymously with 'biodiversity indicator', though the use of the 'biodiversity indicator' is increasing (see Fig. 1a). Overall, we found that depending on the purpose, region, or policy context, indicator terminologies can differ despite representing similar ecosystem/biodiversity components. Nevertheless, biodiversity indicators are still often represented by conventional diversity indices such as species richness or evenness. These indices can be highly useful for summarizing and assessing community structures such as biogenic reefs or infaunal communities and linking them to anthropogenic pressures such as trawling (Cook et al., 2013; Fariñas-Franco et al., 2014; van Loon et al., 2018). To provide sufficient information on ecosystem dynamics and processes for sound policy and management, however, other components such as biological trait diversity and ecosystem functioning can be similarly useful (Díaz and Cabido, 2001; Juan et al., 2007; Bremner, 2008; Pacheco et al., 2011).

The implementation of regional and international legislative frameworks has triggered a big rise in developing biodiversity indicators to determine the state of the ecosystem and its components in the last two decades. Publications on 'ecological', 'ecosystem', or 'biodiversity' indicators started to increase in the early 1990s after the United Nations Conference on Environment and Development with the resulting ratification of the CBD (Fig. 1a) (United Nations, 1992) and the publication of the Organization for Economic Co-operation and Development (OECD) core set of indicators for environmental performance reviews (OECD, 1993). Publications addressing marine systems, however, started much later, in the mid-2000s, and so represent only 18% of all articles on biodiversity indicators, covering predominantly the temperate northern Atlantic ecoregion (see Fig. 1b).

While the term 'biodiversity' may refer strictly to the diversity of biological components in an ecosystem, 'biodiversity' is increasingly used to reflect a much broader ecosystem view. This broader definition includes trophic interactions, network structure and system stability

or resilience (e.g. Samhouri et al., 2009; Dakos, 2011), which is in line with the Convention on Biodiversity's definition of 'biodiversity', above, and is often used by applied scientists, policy-makers, and managers. It is this second definition of 'biodiversity' that is used throughout this paper, due to its frequency of use in conservation. While we do not want to ignite a discussion on terminology superiority, we want to highlight the importance of understanding biodiversity in a wider context and propose a more flexible approach to the term 'biodiversity indicator' that includes multiple concepts such as ecosystem structure and functioning (as outlined by the Essential Biodiversity Variables for policy; Pereira et al., 2013).

In recent decades, a variety of approaches for the use of indicators in the marine environment have emerged, particularly in the temperate northern Atlantic ecoregion, which is largely triggered by the implementation of regional and international legislative frameworks (Fig. 1). Table 1 illustrates some examples of the applied versatility of biodiversity indicators, providing a wide-range of evidence types, at different ecological and spatial scales, for the assessment and management of marine biodiversity within the context of the policy questions they aim to address.

Despite the wide range of applications of biodiversity indicators observed during recent decades, specific selection criteria have been commonly accepted within the scientific community to determine indicator suitability for operational use. These include measurability, scientific basis, interpretability, and ease of communication, but also sensitivity and responsiveness to environmental changes, specificity, robustness with well-known pressure-state relationships, and links to identified targets and thresholds (e.g. OECD, 1993; FAO, 1997; Rice and Rochet, 2005; Heink and Kowarik, 2010; Kershner et al., 2011; Queirós et al., 2016; Otto et al., 2018a). Biodiversity indicators that address policy and management goals are likely to be most effective if the relevant stakeholders and decision-makers also perceive them to be credible, salient and legitimate (Cash et al., 2003; van Oudenhoven et al., 2018). Linking indicators to environmental conditions and ideally to management measures requires a good understanding of indicator responses to pressures and a sound testing of indicator performance, which is often lacking for biodiversity indicators (Rossberg et al., 2017). Thus, new modelling approaches and decision support tools are emerging to tackle the performance evaluation of indicators for assessing the health status of marine ecosystem and biodiversity components (Hayes et al., 2015; Lynam et al., 2016; Otto et al., 2018a; Shin et al., 2018) (see also section *Linking biodiversity indicators to ecosystem change*). To complement assessments of state, additional pressure indicators can be useful, particularly to measure the impacts of human activities on the system when there can be a long time-lag before natural processes can be expected to respond (Rossberg et al., 2017).

Indicators that lack a clear link to a defined pressure however can still contribute effectively to the assessment and management of biodiversity. These indicators without clear links to defined pressures, known as 'surveillance indicators' (Shephard et al., 2015), may not be able to be assessed against quantitative thresholds, but can still provide contextual information on either wider ecosystem impacts of pressures or underlying environmental change (Bedford et al., 2018). Critically, indicators used in a 'surveillance' context should still

increase the knowledge base from which to make management decisions. For example, a suite of 'Essential Ocean Variables' for biodiversity and ecosystem change has been identified by Miloslavich et al. (2018) to effectively reduce the complexity of ecosystem processes for a summary of ecosystem state. Although not linked to specific defined pressures, the impacts of both direct anthropogenic pressures and climate change on these ecosystem processes can be monitored and assessed, providing holistic surveillance information to support management.

Biodiversity indicators in policy and management: needs, barriers, and solutions

Indicator development is challenged by the need to establish associated targets, political acceptance, and evaluation of confidence to support widespread use for management of biodiversity (Table 2).

Biodiversity indicators linked to policy and management

Often, scientists develop biodiversity indicators in academia, usually to address a scientific problem but also to assess the ecosystem status within the context of specific policies, and then publish their results in the scientific literature. A recent review by Bal et al. (2018) showed that indicators (in this case, those based on species traits) developed in academia and reported in the scientific literature typically fail to address decision-making requirements for biodiversity management, with only 21% of studies detailing how indicators explicitly address policy objectives. This review clearly demonstrates the broad use of the term 'indicator', but it also shows that the academic approach to indicator development is often driven by scientific questions rather than a response to policy needs, or if policy-focused takes place outside the policy process. In such cases indicators are frequently not formally incorporated into the assessment of management objectives and targets (Bal et al., 2018). Regardless of the scientific soundness of an indicator, or even the appropriateness for a specific policy, the lack of involvement of end-users (e.g., marine managers, policy-makers, and stakeholders) during the development of indicators may result in unsuccessful implementation of the outputs or even the application and use of the indicator itself.

A solution resulting in fit-for-purpose biodiversity indicators is to co-produce indicators, with scientists providing the scientific input and decision-makers providing the policy steer (Lemos and Morehouse, 2005; Hayes et al., 2015; Bolman et al., 2018; Cvitanovic and Hobday, 2018; de Juan et al., 2018). Co-production spans the science-policy interface and is an iterative process, with each party relying on the other's experience and expertise to gain a deeper understanding of the current science and policy landscapes, opportunities, and limitations (Lemos and Morehouse, 2005). The co-production of biodiversity indicators has resulted in their successful use in marine policy and management (e.g., in Australia and Europe; Pocklington et al., 2012; OSPAR, 2017d). For example, biodiversity indicators developed for the 2017 OSPAR Intermediate Assessment followed this process (OSPAR, 2017d). The indicators were developed by scientists with significant and consistent input from policy-makers to ensure the indicators fulfil policy obligations. As a result, the regional

biodiversity assessments can be used by EU member states for the fulfilment of the MSFD (OSPAR, 2017d).

Data requirements for biodiversity indicators

A basic requirement when developing a biodiversity indicator is an understanding of the types of data available and a critical evaluation of the temporal and spatial scales that are appropriate for the ecological processes being assessed and the pressures on the marine ecosystem. Large-scale monitoring programmes collecting time-series data are very rare, particularly in offshore areas, mainly due to the costs of data collection (Koslow and Couture, 2013). Marine monitoring needs to be well governed, cost-effective, organised, transparent, open, designed on a scientific basis, and “fit for purpose” (Turrell, 2018). Furthermore, data collection for biodiversity indicators ideally should be tailored to the policy questions the indicator is trying to address, for example by developing relevant sampling strategies and power analyses to establish the level of sampling effort required to detect community change at a particular scale.

However, data-intensive indicators, even if they are high in confidence and accuracy, are not always practical for large scale biodiversity assessments, such as required for management of regional marine environments, especially for those ecosystem components for which monitoring is expensive. This lack of practicality is a particular challenge for evaluating ecological processes or distributional patterns of habitats or species which require monitoring surveys over a large spatial area as compared to verifying the presence of, for example, a sensitive species in an MPA (Barrio Froján, 2016).

The costs of data collection can pose a barrier to indicator development, particularly for low income countries, which contain some of the world’s most diverse species and habitats (Tittensor et al., 2010; Ramírez et al., 2017), but are generally poorly monitored due to economic challenges and lack of infrastructure and scientific experts (Danielsen et al., 2000). While high-income countries tend to pose more threats to marine ecosystems (Beck et al., 2011; Thurstan et al., 2013; Halpern et al., 2015; Fariñas-Franco et al., 2018), a lack of fundamental biodiversity research, capacity and coordination of information in low-income countries makes them highly vulnerable, particularly to climate change (Bellard et al., 2014). Many marine and coastal ecosystems are highly diverse, yet there is a lack of fundamental biodiversity research required to understand processes and species distributions in the marine environment (Griffiths et al., 2010). This lack of investment also extends to the capacity and coordination of marine biodiversity information within and outside of the scientific community which can prevent its use within decision-making (Atkinson et al., 2016).

A solution to overcome data shortages or limitations to access, involves a pragmatic approach to indicator construction, together with good use of existing ecological datasets for the relatively new purpose of informing biodiversity indicators for policy and management. Data limitations often can be overcome by constructing indicators with the flexibility to use data from multiple sources (e.g. OSPAR, 2017g; h; b; a) or by using a risk based approach to identify areas where targeted, more intensive monitoring should be concentrated (Elliott et al., 2018).

Additional solutions include setting clear monitoring objectives and clearly articulating the decision context that defines the temporal and spatial requirements for management decisions. This will ensure that the data required to inform biodiversity indicators are collected in a cost efficient manner (Turrell, 2018). In cases where extensive monitoring data are needed but not practical to collect, the use of alternative data sources, such as Earth observation, rather than data solely collected via *in situ* monitoring, can facilitate regional biodiversity assessments (Bean et al., 2017; Strong and Elliott, 2017; Pettoirelli et al., 2018). For example, models combining physical, geological and biological parameters are currently being used to evaluate the extent and distribution of benthic habitat types at regional scale (OSPAR, 2017b). Furthermore, modelled species distributions can provide data to develop indicators such as the presence/absence of species and biotopes based on their environmental preferences for areas where survey data are missing or limited in extent (Elith et al., 2006; Butchart et al., 2010). They can also help in identifying impact hot spots and evaluating management actions (Guisan et al., 2013).

South African practice presents a possible solution to the challenges of monitoring marine biodiversity (Atkinson et al., 2016). Broad scale assessments of the state of South African marine ecosystems have been based on the Ocean Health Index method (Halpern et al., 2008; Halpern et al., 2009) which uses cumulative human impacts in the absence of spatially-extensive biodiversity monitoring data. This method can enable low income countries and other regions with limited biodiversity data to arrive at an indicative national scale assessment of biodiversity. The Ocean Health Index assumes that areas of high human pressure are in poor ecological condition. While useful, the method may not capture fine-scale natural variability, and can fail to identify areas of high resilience as well as the presence of unique or vulnerable ecosystems. Nevertheless, South African policy-makers have so far accepted this method of assessment, acknowledging the challenges and limitations to assessing the condition of the marine environment for the entire exclusive economic zone of South Africa using impact, or pressure, information in the absence of biodiversity data (Driver et al., 2011; Department of Environmental Affairs, 2015). To evaluate the outcomes of this practice, these methods should be verified with empirical evidence at varying scales using ecological monitoring data where available (Sink et al., 2012).

Involving the public in monitoring may be another cost-effective solution to the labour-intensive data collection required to inform biodiversity indicators (Thiel et al., 2014; Freiwald et al., 2018). Limitations on data collection are common, such as lack of standardization and spatio-temporal coverage, particularly in geographical areas which are greatly impacted but less accessible to the public. Despite these challenges, there are some notable regional and global citizen science programmes that are increasing data coverage for some aspects of the marine environment for use in policy and management such as: collection of species data by volunteer scuba divers around the coast of Britain and Ireland (<http://seasearch.org.uk/>); Reef Check and Reef Life Survey, which are global programmes that monitor the health of temperate and tropical reefs (Hodgson, 2000; Stuart-Smith et al., 2017); public monitoring of European seabirds (ICES, 2017); and a series of national citizen science programmes for temperate rocky reefs in California (Gillett et al., 2012), subtidal

habitats in the UK (Bull et al., 2013), and marine biodiversity health in northern Italy (Goffredo et al., 2010).

Linking biodiversity indicators to ecosystem change

Developing biodiversity indicators that are responsive to a defined anthropogenic pressure or linking biodiversity indicator change to a single manageable pressure is often desired by policy-makers but is scientifically challenging to achieve. Micheli et al. (2013) found that ~60-99% of the territorial waters of EU member states were heavily impacted as a result of multiple pressures, rather than one individual stressor. These multiple pressures, which include climate change, can have cumulative and synergistic effects on biodiversity components, reflected by indicator state (Côté et al., 2016). For example, warming temperatures have been shown to interact with fishing pressure on temperate fish stocks (Kirby et al., 2009) and with multiple stressors including pathogens on coral reef ecosystems (Ban et al., 2014). Furthermore, biodiversity components are fundamentally linked through trophic interactions, affecting biodiversity indicators. Torres et al. (2017) showed that no pressure-state relationships for fish indicators in the Central Baltic Sea could be found unless predator-prey feedback or density dependence was accounted for. These complex and interacting drivers obscure the interpretation of change in biodiversity indicators. For example, the limited understanding of the effects of environmental drivers on the variation of Porifera and Anthozoa assemblages across the North of Scotland and Celtic Sea is hindering the ability to accurately measure ecological responses of benthic rocky reef indicators to direct anthropogenic pressures (Haynes et al., 2014).

Multiple biodiversity indicators may respond to the same anthropogenic pressure. Integrating information from a range of biodiversity indicators is a solution that can help to provide an overall assessment of the ecosystem (Elliott et al., 2018) and clarify the main drivers of change affecting a system (Smith et al., 2016). Although significant development is often required, ecosystem modelling can provide a comprehensive means to detect change in multiple biodiversity components and identify the important pathways by which impacts from pressures can cascade through an ecosystem (Lynam et al., 2016). Thus embedding indicators within a model framework can demonstrate key pressure-state linkages (Fulton et al., 2005; Shin et al., 2018), although it must be noted that data quality may impact model performance. Such models can then be used to examine the effects on biodiversity indicators of potential management measures or climate change through scenario testing (e.g. Mackinson et al., 2018; Queirós et al., 2018).

Another factor to consider when linking indicators to pressures is the non-linearity in marine ecological systems. For some marine ecosystems abrupt community shifts have been reported (e.g. Hare and Mantua, 2000; Frank et al., 2005) that can only be explained by non-linear state responses to abrupt changes in pressures (Scheffer and Carpenter, 2003). Non-stationarity, i.e. spatio-temporal change in the state-pressure relationship (Hunsicker et al., 2016), impedes the development of robust indicators that behave in a consistent and

predictable way. A new tool, the R package 'INDperform' (Otto et al., 2018b) accounts for these dynamics and allows the user to explicitly test for non-linear and non-additive indicator-pressure relationships. The package builds on a quantitative framework for selecting and validating the performance of indicators tailored to specific management needs (Otto et al., 2018a) and offers additional functions to quantify the robustness of these models, identify temporal indicator changes, test for indicator redundancy, and visualize performances. While single indicator-pressure models, such as offered in INDperform, can easily be applied to any number of indicators and pressures they cannot account for synergistic or counteracting effects of multiple pressures or estimate trade-offs between individual indicators. For this, more complex modelling tools are required, which in turn can be difficult to communicate, may require many assumptions, and take longer to build (Hyder et al., 2015).

Using biodiversity indicators to measure progress towards policy goals

Policy goals are often definitive, moving beyond broad-scale visions, and instead specifying a target condition that needs to be reached to meet the goal. An example of this is “...the abundance/extent, distribution and condition of marine species and habitats are in line with prevailing environmental conditions” from Descriptor 1 Biological Diversity of the EU’s Marine Strategy Framework Directive (2008/56/EC). Such an approach has long been used to assess indicators of environmental quality, including concentration of contaminants in water bodies (e.g. mercury, PCBs, nitrates) and of harmful gases in the air (e.g. carbon monoxide, sulphur dioxide). For these indicators, laboratory tests establish safe limits which can then be used to define desirable target levels for environmental conditions (European Commission, 2008a). Setting quantitative targets that define a good or favourable condition for biodiversity indicators, however, is much more challenging, as our understanding of ecological processes influencing the recovery of species or habitats and the associated ecosystems functions is more limited. Consequently, many biodiversity indicators currently still lack associated defined targets (Teixeira et al., 2016).

The most common first step to defining targets for biodiversity indicators is to establish a baseline against which future change in condition can be measured (Fig. 2). The most robust approach to baseline setting is to first establish a ‘reference condition’ (Borja et al., 2012; Greenstreet et al., 2012; OSPAR, 2012; Probst et al., 2013) or “natural range” (Rossberg et al., 2017) which will enable the full effects and changes caused by anthropogenic pressures to be evaluated (van Loon et al., 2018). Reference conditions can be derived from information on species and habitats from areas where human pressure is considered negligible or non-existent but that information must be shown to be applicable to other areas (Borja and Tunberg, 2011). Reference conditions for marine biodiversity indicators, however, can be difficult to identify as areas of the marine environment that have been unimpacted by human pressures are increasingly scarce (Jones et al., 2018). Furthermore, time-series for most indicators are not long enough to include a time when human impacts were absent or negligible (Butchart et al., 2010; Dornelas et al., 2018). Unimpacted conditions are particularly difficult to identify for mobile species such as birds, marine mammals, fish and turtles because they move between impacted and unimpacted areas

(OSPAR, 2012). Modelling, however, can be used to predict reference conditions, based on knowledge of human pressures and their impact on the state of the indicator (Borja et al., 2012; Rossberg et al., 2017). Once reference conditions are established, targets can then be set that are within a specified distance from them (OSPAR, 2012), where the acceptable target range for this distance is dependent on the rate of recovery of the state in question (Rossberg et al., 2017).

In the absence of empirical or modelled reference conditions, recent assessments of birds, seals, and fish in the NE Atlantic have used the start of time-series to define baselines for indicators (Fig. 2) (OSPAR, 2017i; j; c; f). The risk with this approach is that the baseline is set at a value that represents a degraded condition which may or may not be within the acceptable target range of the ecosystem state. If targets are then set close to the baseline condition, this may jeopardise any improvement or recovery beyond that observed recently. This concept is referred to as Shifting Baseline Syndrome (Pauly, 1995; Pinnegar and Engelhard, 2008; Papworth et al., 2009) and can result in targets lacking in ambition (Plummeridge and Roberts, 2017) or worse, 'locking in loss' (Maron et al., 2015). Objective baselines and targets can be set once we improve our understanding of pressure-state relationships and the influence of the environment on them. Duarte et al. (2009) caution that it might not be possible for an indicator to return to a historic state because of fundamental alterations to the ecosystem caused by long-term or chronic effects of pressures or similarly changes in environmental conditions (Möllmann et al., 2009). In such cases, baselines that denote reference conditions would need to be set at a theoretical natural state, which could be achieved in the future if all current human impacts were removed (Rossberg et al., 2017). If the policy goal is sustainable use, the indicator targets should allow components of the ecosystem to achieve the theoretical natural state in a societally acceptable period of time (such as within a human generation) if all current human activities were to cease (Rossberg et al., 2017). To ensure the highest probability of such a recovery, impacts by human activities on structure, productivity, function and biological diversity of the ecosystem should be minimized (Garcia, 2003).

Where indicators are required to measure progress towards broad-level policy goals and visions, trend-based targets provide an appropriate solution. Trend-based assessment approaches are relatively simple to apply and communicate and are useful to inform on the progress of management in helping to recover degraded habitats or ecosystems or depleted species populations. For example, the Convention on Biological Diversity Aichi Target 12 is a broad-level vision stating that "By 2020 the extinction of known threatened species has been prevented and their conservation status, particularly of those most in decline, has been improved and sustained" and is used to assess progress towards Strategic Goal C "to improve the status of biodiversity by safeguarding ecosystems, species and genetic diversity" (United Nations, 2010). Measuring progress towards this goal, however, does not require indicators to reach a specified endpoint or target point, but instead assessment is based on indicator trend.

An additional barrier to setting targets for biodiversity indicators is that political resistance can be generated by a lack of agreement on the level of ambition by different parties, for

example, across different countries sharing the same sea area. This can stem from a lack of understanding of what the indicator values signify and/or uncertainty around the implications or consequences of missing a target. Failure to meet targets may carry reputational risks or could lead to costly remedial measures such as changes in regulation or management, which may create resistance to targets from industry. Some of these political sensitivities can be alleviated through scientists working closely with policy leads to co-produce SMART targets that make the most of the available evidence (Cvitanovic and Hobday, 2018). For international targets, fora involving national representatives from science and policy can help to achieve international consensus and ensure targets are adopted by countries rather than imposed upon them (Heritier, 2002; OSPAR, 2017i; j; c; f).

Decision triggers are less contentious than firm targets and can provide a useful link from monitoring data to management decisions. Decision triggers are becoming an appealing tool for conservation managers to help support decision-making by providing clarity about when and how to act; improving transparency of organizational decisions; removing the need for guess work; guarding against the paralysing effects of uncertainty; and preventing negative conservation outcomes (Addison et al., 2016). Decision triggers represent a point or zone in the status of a monitored variable indicating when management intervention is required to address undesirable ecosystem changes (Cook et al., 2016). Decision triggers can be set using a number of methods, depending on the availability of scientific data and expertise, the number of objectives for management and the resources available (Bie et al., 2018).

Strategies for communicating biodiversity indicators to policy

Effective communication of biodiversity indicators and assessments is integral to their uptake by policy-makers and managers. Critically, the target audience must be identified so indicator communication can be tailored appropriately. The group 'policy-makers' is often used as a generic term for decision-makers at multiple levels, including local councillors, environmental managers, civil servants, congress people, Members of Parliament (MPs), and ministers, among others. These subgroups use biodiversity indicators in different ways to make decisions and therefore require information in different formats with varying levels of associated detail and specificity.

Regardless of the audience, biodiversity indicator communication must be clear, transparent and easy to understand to support their legitimate use in decision-making. There are different ways to present indicator results and assessments, each of which involves trade-offs between the complexity of biodiversity information and the simplicity of the product required for clear communication (Fig 3). The simplest methods of indicator communication use traffic lights summaries (United Kingdom Marine Monitoring and Assessment Strategy, 2010; Driver et al., 2011; Karnauskas et al., 2017) or trend lines (WWF, 2016), which are simple visual illustrations of indicator change and are easily understood by non-scientists. These approaches often include composite indicators that are constructed by integrating numerous indicators to provide a single value (e.g. the Ocean Health Index, 2017) or trend

(e.g. the Living Planet Index; WWF and ZSL, 2016). These products can deliver a simple but powerful, attention-grabbing message to a wide and diverse policy- and decision-making audience. However, the simplicity of these approaches, and lack of associated written narrative, also brings a risk that the audience may misinterpret the message conveyed by the indicator results. It is therefore the responsibility of scientists and managers to communicate results unambiguously, in a way that effectively takes account of any uncertainty in the results (Fischhoff and Davis, 2014).

Conversely, more complex communication methods such as summary report cards (e.g. Carey et al., 2017; European Environment Agency, 2017; Marine Climate Change Impacts Partnership, 2017) and narrative reports (e.g. Conservation of Arctic Flora and Fauna, 2017; Evans et al., 2017; OSPAR, 2017d) can provide a strong written narrative and contextual information, reducing the likelihood of misinterpretation by policy-makers. Protocol documents (e.g. Ehler and Douvere, 2009) are even more detailed, acting as a 'user guide' for indicators.

For all policy audiences, confidence in indicator assessments must also be clearly communicated. Addison et al. (2017) suggest that confidence in indicator assessments can be communicated through a variety of ways. For example, relatively simple categorical estimates of confidence in scientific robustness and/or supporting data informing indicator assessments can be applied. Some examples from Australia and Europe include reporting simple 'high, medium, and low' confidence designations (e.g., Carey et al., 2017; OSPAR, 2017e), measuring comparability with previous assessments (e.g., designating current indicator assessments as 'comparable', 'somewhat comparable', or 'not comparable' with previous assessments (e.g., Evans et al., 2017)), and making the evidence (data, metadata, reports, papers) used in assessment transparent and accessible (e.g., Ocean Health Index, 2017; OSPAR, 2017d).

Progress towards achieving any associated targets may also be appropriate to communicate to policy-makers, including some measure of distance from the associated target as well as an indication of management interventions needed to achieve the target in the future (Andersen et al., 2014; HELCOM, 2018). Emphasising socioeconomic needs linked to biodiversity indicators and assessment, such as ecosystem service provision, can help articulate policy relevance and increase usefulness of biodiversity indicators and assessments. Delivering the right indicator information in the right communication format for the right audience is therefore key to successful use of biodiversity indicators and assessments. For example, environmental managers who must make rapid management decisions require a higher level of detail about indicator implementation and interpretation than a national minister, who may only need to understand high-level information (Fig 3).

The co-development of indicators by scientists working closely with policy-makers can facilitate feedback on product communication format to ensure that the final indicators or assessment products are useful for policy-makers. Furthermore, indicator co-production allows the articulation of scientific confidence limits and risks, enabling agreement on a way to consider and express these limitations in assessments (Addison et al., 2017; Bolman et al., 2018). This is a critical, and often iterative, step in biodiversity indicator and assessment

utility. Recent examples of this collaborative approach to indicator development are the OSPAR Intermediate Assessment of the Northeast Atlantic (OSPAR, 2017d) and the HELCOM Holistic Assessment of the Baltic Sea (HELCOM, 2018) where scientists worked closely with policy-makers to develop a suite of marine biodiversity indicators. The science-policy working groups co-developed communication products tailored to the requirements of two levels of decision-makers. Firstly, a detailed assessment report containing information about indicator development, assessment methods, and the interpretation of indicator results was developed for government civil servants to use for reporting. Secondly, a two-page report card for elected officials, containing simple figures, provided a high-level overview of assessment results. Close working across the science-policy interface therefore resulted in biodiversity assessment products which meet the needs of both policy audiences.

Lastly, evidence-based decision making is essential for effective biodiversity management in the marine environment and in that sense promotes the use of user friendly mathematical or statistical models, such as decision-support tools that can translate science into policy (Pinarbaşı et al., 2017). Multifunctional decision support tools have been developed for a wide range of components in marine management, some of which may be useful to communicate results to decision-makers or to identify trade-offs and perform scenario analyses. These types of DSTs are particularly useful for detecting changes in marine ecosystems by performing scenario analyses on key drivers or biodiversity indicators within marine systems.

Although the scientific process in developing a set of indicators may be complex, the outputs should be simplified such that the outputs are connected to the human or social context in which they will be used. Technical DSTs or complex indicators may result in a disconnection between the objective of the indicator and its utilisation in the decision-making process (Bolman et al., 2018). Therefore, simplifying complexity should rather focus on the communication of the scientific outputs rather than on the actual development of the indicators or tools. Communicating biodiversity indicators should include emphasising key trends or sensitive parameters to communicate the dynamics within complex marine systems, in the format most useful to different decision-makers (e.g. decision support tools, report cards, or web-based interfaces).

Conclusions

As we enter the UN decade of ocean science for sustainable development (UNESCO, 2018) a concerted effort will be required to develop strategies to meet the UN global goal to “Conserve and sustainably use the oceans, seas and marine resources for sustainable development” (Sustainable Development Goal 14 (United Nations General Assembly, 2015)). Marine biodiversity indicators are likely to be critical to meeting the targets associated with this ambitious goal.

In the context of marine management, we highlight a holistic approach to understanding the term ‘biodiversity indicator’ to include ecosystem structure and functioning. Several challenges around biodiversity indicator development limit the widespread implementation

in biodiversity management. Firstly, the policy application of marine biodiversity indicators varies across geographical regions and is currently most common in, but not limited to, high income countries with established monitoring programs. Where marine biodiversity indicators are in use for policy assessments, these indicators often use region-specific terminologies and data requirements, and were created for specific policy drivers. Additionally, marine ecosystems are complex, non-linear systems and links between internal interactions and exogenous pressures frequently distort human intuition of the marine system and hence management approaches. Marine management, and the development of biodiversity indicators to support management, thus require methods of analysis and decision-support tools that recognize multiple forms of complexity.

Formation of a community of practice was a key aim of this IMCC symposium and focus group, and these sessions revealed that the concept of biodiversity indicators is most useful when kept broad and flexible in both definition and application. A community of practice will facilitate knowledge exchange between indicator users to find alternative solutions for the common challenges outlined in this paper. Solutions to many of the challenges facing the policy application of marine biodiversity indicators were discussed and further developed and are now described in this paper. Some solutions require advanced numerical expertise while others address barriers by adopting innovative solutions involving citizen science data collection, combining multiple datasets to populate indicators, communicating assessment results in audience-specific formats, and enhancing collaborations within the international scientific community. The key to overcoming many barriers to biodiversity indicator uptake is to include policy-makers from the start of indicator development to ensure that implementation needs are met. It is our hope that the solutions outlined here will support the use of biodiversity indicators for marine policy, management, and conservation, helping us to meet the UN aspiration of the sustainable use of our oceans, seas, and marine resources.

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Author contribution statement

A.M.G., I.M., C.V.H., J.B., S.O., P.A., and C.L. conceived and led the research and designed and led authorship of the manuscript. G.P.N., E.V., K.S., D.B., E.M.W., and H.J.N. contributed to research and manuscript authorship.

Figure legends:

Figure 1: Bibliographic analysis of publications on biodiversity, ecological, or ecosystem indicators in general and for marine systems specifically. a) The number of publications using one of the indicator terms (biodiversity (green shading), ecosystem (blue shading), or ecological (grey shading) indicator(s)) between 1975 and 2017 (total of 2502), and the number of publications using these terms in relation to marine systems only (white trend line; total of 457), shown in relation to the years when three significant international or regional legislative frameworks were implemented. b) The geographic distribution of a subset of 1430 publications across marine ecoregions (Spalding et al., 2007), extracted from publication abstracts and keywords. The bibliographic data were queried from the Web of Science database (accessed last Sept 18th, 2018).

Figure 2. Establishing baselines and setting targets under two scenarios of biodiversity data availability. a) The relative condition of the indicator is known, with data available representing unimpacted conditions (reference conditions). In this case, an indicator target can be set as a range of indicator values within a specified distance from the baseline reference conditions. b) The relative condition of the indicator is not known, and no data representing reference conditions are available. In this case, time-series data are used to establish baseline conditions and set targets. Baselines can be set using 1) historical data, such as from an alternative data source or model, 2) the earliest time-series data available, or 3) data representing current conditions. Targets can then be set as a range or as an ‘improving’ trend from baseline state.

Figure 3. Indicator communication formats should vary in level of technical detail depending on the policy audience.

Table 1. Applications of biodiversity indicators relevant to marine environments and global marine conservation policy and management. Citations preceded by “e.g.” reflect one example of many.

Indicators used for assessments	Examples of application	Spatial scale of application (presented in order of cited publications)
Status of, or changes in, species, habitats, or ecosystems	(Beaugrand, 2005; Rochet et al., 2005; Blanchard et al., 2010; Shin et al., 2010; Shephard et al., 2014; Probst and Stelzenmüller, 2015)	North Atlantic Ocean; France; Global; Global; Celtic Seas and Greater North Sea; North Sea
Track and communicate trends in quantity and quality of ecosystem services	(van Oudenhoven et al., 2018)	European seas
Signals prior to or after trending or oscillating changes	(e.g. Lindegren et al., 2012; Cline et al., 2014)	Baltic Sea; Global (lakes);
Impact of an anthropogenic pressure on the ecosystem	(Shannon et al., 2010; Henriques et al., 2014; Coll et al., 2016)	Global; Portugal; Global
Ecosystem stability or resilience	(e.g. Samhoury et al., 2009; Vasilakopoulos et al., 2017)	Global; Mediterranean Sea

Oceans at different spatial scales	(e.g. Blanchard et al., 2010; Halpern et al., 2012; Coll et al., 2016; Uusitalo et al., 2016; Torres et al., 2017)	Global; global; global; regional (European); single ecosystem (Baltic Sea)
Ocean biological indicators at different organizational levels (single species, individual guilds, entire food webs and trophic interactions)	(Teixeira et al., 2016; McQuatters-Gollop et al., 2017)	Global with European focus; European

Table 2. Needs, barriers and solutions to the development and use of marine biodiversity indicators.

Need	Barrier	Solution
Biodiversity indicators linked to policy and management	Siloed development of indicators, resulting in indicators that do not meet the needs of decision-makers.	Co-production of indicators by scientists and decision-makers (Lemos and Morehouse, 2005).
Appropriate biodiversity data are required to inform indicators	<p>Insufficient data to capture spatial and temporal variability of marine ecosystems due to:</p> <ul style="list-style-type: none"> – High costs of data collection. – Vast scales (spatial and temporal) over which ecological processes and patterns occur. – Non-policy oriented focus of historic data collection. – Lack of capacity for marine management infrastructure. 	<p>Pragmatic approach to indicator design that supports the combination and repurposing of existing data sets (OSPAR, 2017g; h; b; a).</p> <p>Risk-based approach to target intensive monitoring in order to answer specific and clear policy question (Elliott et al., 2018; Turrell, 2018).</p> <p>Use of earth observation and models to supplement <i>in situ</i> data (Elith et al., 2006; Butchart et al., 2010; Bean et al., 2017; Strong and Elliott, 2017; Pettorelli et al., 2018).</p> <p>Use of human impact (pressure) data where biodiversity monitoring data are unavailable (Halpern et al., 2012).</p> <p>Use of citizen science programmes for data collection (Hodgson, 2000; Goffredo et al., 2010; Gillett et al., 2012; Bull et al., 2013; ICES, 2017; Stuart-Smith et al., 2017).</p>
Linking biodiversity indicators to ecosystem change	<p>Biodiversity indicator respond to multiple pressures, including climate change, making it difficult to identify causes of change</p> <p>Systems may respond non-linearly to pressures, obscuring indicator interpretation</p>	<p>Integration of biodiversity indicators during assessments increases confidence in identify causes of change (Smith et al., 2016).</p> <p>Ecosystem modelling to identify the important pressure-state pathways (Fulton et al., 2005; Lynam et al., 2016; Shin et al., 2018).</p> <p>A range of modelling tools can examine non-linear indicator-pressure relationships (e.g. Hyder et al., 2015; Otto et al., 2018a; Otto et al., 2018b).</p>
Using biodiversity indicators to measure progress towards policy goals	<p>Setting targets for biodiversity indicators is challenging due to:</p> <ul style="list-style-type: none"> - Difficulty in identifying reference conditions - Political resistance to targets 	Reference conditions can be constructed based on spatial or time-series data or using models (Borja and Tunberg, 2011; Borja et al., 2012; OSPAR, 2017i; j; c; f; Rossberg et al., 2017) allowing targets to be set at an acceptable distance from the reference conditions.

		<p>Trend based approaches do not require indicators to reach a specified endpoint or target point (Butchart et al., 2010).</p> <p>Close science-policy collaboration can produce evidence-based SMART targets (Heritier, 2002; Cvitanovic and Hobday, 2018).</p> <p>Decision triggers may be used instead of targets to trigger management action (Addison et al., 2016)</p>
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663

664

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